

1. Personal details

Are you opening this account for the purpose of a Home Loan?

 Yes No

Do you have a pre-assigned Home Loan application number?

 Yes No

 Home Loan application number (please refer to home loan letter of offer for the application number)

Applicant 1

Are you an existing HSBC customer?

No <input type="checkbox"/>	Country <input type="text"/>
Yes <input type="checkbox"/> <small>Specify customer number & update customer details where necessary</small>	Customer Number <input type="text"/>

 In which country will you hold qualifying balances to meet the HSBC Premier eligibility criteria? (This country will be designated as your 'Home' Country)

Would you be interested in seeing if you would be eligible for other HSBC Services?

If yes, please select out of the following:	HSBC Corporate Banking Services <input type="checkbox"/>
	HSBC Global Relationship Services <input type="checkbox"/>
	HSBC Global Trade Services <input type="checkbox"/>

Title <input type="text"/>	First name(s) <input type="text"/>
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Middle name <input type="text"/>	Last name <input type="text"/>
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 Date of birth DD/MM/YY

 Former/other names

 Gender
 Male Female Unspecified

 Country of birth

 Nationality

Multiple Nationalities	
No <input type="checkbox"/>	
Yes <input type="checkbox"/> <small>If Yes please provide name(s) of other Nationalities</small>	<input type="text"/>

Residential address <small>(where you live)</small>	
<input type="text"/>	Postcode <input type="text"/>
Country <small>(if not New Zealand)</small> <input type="text"/>	

 The above has been my address since DD/MM/YY

If at current address for less than 3 years please provide details of your previous residential address

<input type="text"/>
Postcode <input type="text"/>
Country <small>(if not New Zealand)</small> <input type="text"/>

Applicant 2

Are you an existing HSBC customer?

No <input type="checkbox"/>	Country <input type="text"/>
Yes <input type="checkbox"/> <small>Specify customer number & update customer details where necessary</small>	Customer Number <input type="text"/>

 In which country will you hold qualifying balances to meet the HSBC Premier eligibility criteria? (This country will be designated as your 'Home' Country)

Would you be interested in seeing if you would be eligible for other HSBC Services?

If yes, please select out of the following:	HSBC Corporate Banking Services <input type="checkbox"/>
	HSBC Global Relationship Services <input type="checkbox"/>
	HSBC Global Trade Services <input type="checkbox"/>

Title <input type="text"/>	First name(s) <input type="text"/>
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Middle name <input type="text"/>	Last name <input type="text"/>
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 Date of birth DD/MM/YY

 Former/other names

 Gender
 Male Female Unspecified

 Country of birth

 Nationality

Multiple Nationalities	
No <input type="checkbox"/>	
Yes <input type="checkbox"/> <small>If Yes please provide name(s) of other Nationalities</small>	<input type="text"/>

Residential address <small>(where you live)</small>	
<input type="text"/>	Postcode <input type="text"/>
Country <small>(if not New Zealand)</small> <input type="text"/>	

 The above has been my address since DD/MM/YY

If at current address for less than 3 years please provide details of your previous residential address

<input type="text"/>
Postcode <input type="text"/>
Country <small>(if not New Zealand)</small> <input type="text"/>

Permanent address – (complete if your residential address above is not your permanent/ long term residential address)

Postcode
Country (if not New Zealand)

Permanent address – (complete if your residential address above is not your permanent/ long term residential address)

Postcode
Country (if not New Zealand)

Correspondence address – Write 'as above' if the same as your residential address

Postcode
Country (if not New Zealand)

Correspondence address – Write 'as above' if the same as your residential address

Postcode
Country (if not New Zealand)

Home/ Work phone number () Mobile phone number ()

Home/ Work phone number () Mobile phone number ()

Email address

Email address

Are you: Employed Unemployed Self employed
 Retired Other (Please specify)

Are you: Employed Unemployed Self employed
 Retired Other (Please specify)

Type/Nature of Business Job title

Type/Nature of Business Job title

Employer's name

Employer's name

Employer's address

Employer's address

Income details

Annual salary/Business income	\$
Total weekly rental income (as per real estate owned section)	\$
Annual other income (i.e. bonuses, commissions)	\$
Total annual income	\$

Income details

Annual salary/Business income	\$
Total weekly rental income (as per real estate owned section)	\$
Annual other income (i.e. bonuses, commissions)	\$
Total annual income	\$

Sources of Wealth* (Please tick all that apply)

Salary/drawings/bonus/commission	<input type="checkbox"/>
Superannuation payments/pension	<input type="checkbox"/>
Investment income/sales of shares	<input type="checkbox"/>
Dependent on family members	<input type="checkbox"/>
Property related income e.g. rent/sale of property	<input type="checkbox"/>
Inheritance	<input type="checkbox"/>

Sources of Wealth* (Please tick all that apply)

Salary/drawings/bonus/commission	<input type="checkbox"/>
Superannuation payments/pension	<input type="checkbox"/>
Investment income/sales of shares	<input type="checkbox"/>
Dependent on family members	<input type="checkbox"/>
Property related income e.g. rent/sale of property	<input type="checkbox"/>
Inheritance	<input type="checkbox"/>

Other (Please specify)

Other (Please specify)

Country where wealth was accumulated

Country where wealth was accumulated

*"Sources of Wealth" describes all activities that have contributed your total net worth as an individual – including funds and other assets not held with HSBC.

2. Account requirements

Account type

Everyday Account Multi-currency Account Ccy* e-Saver
Smart Saver Other

Overall Purpose of Account: Main banking relationship Savings for school fees Receipt of monthly salary

Home loan servicing Payment of living expenses Other (Please specify)

*Multi-currency accounts are available in the following currencies: AUD, NZD, USD, GBP, EUR, CAD, JPY, HKD, SGD, RMB and CHF. If you don't choose a currency, the default will be NZD.

Amount of Opening Deposit* \$

Source of Funds*

Please tell us where this money is coming from:

- Transfer from Employer Cash from employer / wages / gratuities
 Transfer Accounts with other financial institution in customer name Personal cash savings - held within another Financial Institution
 Transfer from 3rd Party Account with other financial institution Personal cash savings - held outside of a Financial Institution
 Social Security Benefits Cash received from gift / sale of personal goods
 Cheque

Cash from another source

*"Source of Funds" describes where the funds you are going to deposit with HSBC originated from, for example, earnings from your employment or funds derived from your business activities.

Notes

We may require additional information regarding source of funds and wealth in order to meet due diligence requirements of the local regulator. We will contact you if this is required, however, if you wish to include copies of any relevant information with your application, this may assist with the account opening process.

Types of expected account activity

Local Payments International payments Cheque/Cash Transactions Other (Please specify)

If cash transactions will be carried out, please provide following information:

Other than usual day to day living credits and transactions will you be conducting any other cash transactions on a regular basis? Yes No

If Yes, please provide the following information:

How many Monthly cash deposits do you intend to make?

Approximate monthly amount? \$

How many Monthly cash withdrawals do you intend to make?

Monthly amount? \$

What is the purpose of the cash deposits/withdrawals?

If international payments will be carried out, please provide following information:

Do you intend to make regular international payments? Yes No

Country(ies) you intend to make regular international payments to?

Value of monthly international payments made in USD \$

How many transfers do you expect to send in a month?

What is the reason for sending these funds?

Do you intend to receive regular international payments? Yes No

Country(ies) you intend to make regular international payments to?

Value of monthly international payments made in USD \$

Number of monthly international payments

What is the reason for receiving these funds?

Type of statement eStatement (for internet banking customers only) #Composite Paper Statement (all accounts in one statement)

Frequency Monthly Quarterly Half Yearly

Account access Telephone Banking Internet Banking Debit Card

Telephone and Internet access are not available if more than one signatory are required. Debit Card access is only available for accounts in New Zealand dollars. If you require a cheque or deposit book, please let us know once your accounts have been opened.

*A fee will be payable for paper statements unless your statement contains loan information. Please refer to the current Retail Banking and Wealth Management Fees and Charges brochure.

Welcome Pack: Collect at 1 Queen Street Send to Correspondence Address

3. Tax Details

Country of Residence for Tax Purposes and related Tax Identification Number or equivalent number ("TIN") (See Appendix)

Please complete the following table indicating (i) where you (the Account Holder) is tax resident and (ii) the TIN for each country indicated. If you (the Account Holder) is tax resident in more than three countries please use an additional sheet

If a TIN is unavailable please provide the appropriate reason **A**, **B** or **C** where indicated below:

Reason A - This Jurisdiction / Country does not issue TINs
Reason B - I am unable to provide a TIN or equivalent due to other reasons
Reason C - Jurisdiction / Country does not allow its residents to disclose TIN to other countries.

Applicant 1

Country of tax residence	IRD / TIN or Equivalent	If no TIN enter Reason A, B or C
1		
2		
3		

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.
 Please note: If you are not willing to provide your TIN, then this may cause delays in your Account Opening Application.

1	
2	
3	

If you (the Account Holder) are a New Zealand Tax Resident, which tax rate do you want to apply to your deposits?¹
 10.5% 17.5% 30% 33%
 If you (the Account Holder) are not a New Zealand Tax Resident, which tax application have you elected?
 Approved Issuer Levy² or
 Non Resident Withholding Tax

Applicant 2

Country of tax residence	IRD / TIN or Equivalent	If no TIN enter Reason A, B or C
1		
2		
3		

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.
 Please note: If you are not willing to provide your TIN, then this may cause delays in your Account Opening Application.

1	
2	
3	

If you (the Account Holder) are a New Zealand Tax Resident, which tax rate do you want to apply to your deposits?¹
 10.5% 17.5% 30% 33%
 If you (the Account Holder) are not a New Zealand Tax Resident, which tax application have you elected?
 Approved Issuer Levy² or
 Non Resident Withholding Tax

¹Please note that if you do not provide an IRD number and/or tax rate, we'll deduct at the highest rate.

²Customers who are not New Zealand tax residents will have Non Resident Withholding Tax deducted from interest payments. The rate deducted will be determined by the jurisdiction/country the customer is a tax resident as determined by New Zealand tax law. Non resident customers may instead elect to have Approved Issuer Levy of 2% applied to interest earned. HSBC will pay this levy on your behalf.

Foreign Account Tax Compliance Act (FATCA)

If the individuals in this application have any connection with the United States of America (USA), please go to:

<http://www.fatca.hsbc.com/en/rbwm/new-zealand>, and download the FATCA form/s applicable to your circumstances to provide us more information.

This will help us to correctly classify your Tax status under the Foreign Account Tax Compliance Act (FATCA).

Please confirm that you have completed this? Yes No (I am not a US person)

Important Information and Customer Declaration

CRS Declaration

I understand that the information supplied by me in the Tax Details section of this form is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with HSBC setting out how HSBC may use and share the information supplied by me.

I acknowledge that the tax information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information with the country(ies) in which this account(s) is/are maintained.

I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise HSBC within 30 days of any change in circumstances which affects the tax residency status of the Account Holder identified in Part 1 of this form or causes the information contained in this form to become incorrect, and to provide HSBC a suitably updated self-certification and declaration within 90 days of such change in circumstances.

If I fail to do so, I understand that pursuant to [Retail Banking and Wealth Management Terms and Conditions](#), HSBC can suspend or close our accounts.

HSBC Customer Declaration

I/we certify that all information supplied in connection with this (or any related) application is true, correct and complete in every respect.

I/We confirm that I/we have received, read and understood of the Retail Banking and Wealth Management Terms and Conditions and the [Retail Banking and Wealth Management Fees and Charges guide](#) ("Terms").

I/We acknowledge and agree that the Terms (as amended from time to time) apply to all products and services provided by HSBC whether now or in the future.

I/We consent to HSBC, its agents, authorised service providers and relevant third parties (including credit reporting agencies), collecting, using, storing and disclosing any personal data which I/we provide to HSBC from time to time in the course of our relationship and in connection with the products and/or services which I/we may apply for or request. Personal data will be collected, used, stored and disclosed for the purposes and upon the terms set out in the Privacy Act 1993, the Terms and [HSBC's privacy and security policy](#) (as amended from time to time) which may be found on HSBC's website.

I/We acknowledge that I/we have received, read and understood a copy of the [HSBC O&E Disclosure Statement](#) and have read the important information contained in the statement before making this application.

Authorisation

Signing instruction

Either Signatory to sign alone Both Signatories jointly

Please specify additional applicant(s) names (*applicable if more than two applicants*) (*specify*)

Applicant 1

I confirm that I have read and understood the CRS Declaration above. Yes No

I confirm that I have read and understood the Customer Declaration above. Yes No

I confirm that I am an authorised signatory on this account. Yes No

Print Name

Signature:

Date (DDMMYYYY)

I would like to receive information on other HSBC products and services (including via email) Yes No

Applicant 2

I confirm that I have read and understood the CRS Declaration above. Yes No

I confirm that I have read and understood the Customer Declaration above. Yes No

I confirm that I am an authorised signatory on this account. Yes No

Print Name

Signature:

Date (DDMMYYYY)

I would like to receive information on other HSBC products and services (including via email) Yes No

Bank Use Only

HSBC document checklist

This application completed
Proof of identity form (*if applicable*)

Passport identification
Power of attorney (*if applicable*)

Proof of address*
Additional Documentation (*if required*)

**Verification must be less than three months old and confirm customer's residential address (and permanent address if applicable).*

Additional comments

Account Officer's name & number

Branch

Date

Account Officer Signature (*bank staff that interviewed and verified all documents provided by the customer (s)*)

Appendix – Definitions

Note: These are selected definitions provided to assist you with the completion of Part 2 (Tax Details) of this form. Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the “CRS”), the associated Commentary to the CRS, and domestic guidance. This can be found at the following <http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>

If you have any questions then please contact your tax adviser or domestic tax authority.

“Account Holder” The term “Account Holder” means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

“Controlling Person” This is a natural person who exercises control over an entity. Where an entity Account Holder is treated as a Passive Non-Financial Entity (“NFE”) then a Financial Institution must determine whether such Controlling Persons are Reportable Persons. This definition corresponds to the term “beneficial owner” as described in Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012). **If the account is maintained for an entity of which the individual is a Controlling Person, then the “Controlling Person tax residency self-certification” form should be completed instead of this form.**

“Entity” The term “Entity” means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

“Financial Account” A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

“Financial Institution” The term “Financial Institution” means a “Custodial Institution”, a “Depository Institution”, an “Investment Entity”, or a “Specified Insurance Company”. Please see the relevant domestic guidance and the CRS for further classification definitions that apply to Financial Institutions.

“Reportable Account” The term “Reportable Account” means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

“Reportable Jurisdiction” A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place.

“Reportable Person” A Reportable Person is defined as an individual who is tax resident in a Reportable Jurisdiction under the tax laws of that jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purposes.

“TIN” (including “functional equivalent”) The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the following link <http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.